

FORM PTO-1449	MAR 3 1999 JC50	Atty. Docket No.: 1001.1294101	Serial No.: 09/178,126
PATENT & TRADEMARK OFFICE		Applicant: Stivland et al.	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Filing Date October 23, 1998	RECEIVED Group Art:
		MAR 09 1999	

U.S. PATENT DOCUMENTS

TECHNOLOGY CENTER 3700

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
YMC	AA 2,687,131	08/1954	Raiche	123	349	
	AB 2,936,760	05/1960	Gants	123	349	
	AC 3,225,762	12/1695	Guttman	128	214	
	AD 3,884,242	05/1975	Bazell et al.	128	351	
	AE 4,044,765	08/1977	Kline	128	214.4	
	AF 4,289,128	09/1981	Rüsch	128	207.15	
	AG 4,468,224	08/1984	Enzmann et al.	604	247	
	AH 4,597,755	07/1986	Samson et al.	604	96	
	AI 4,662,368	05/1987	Hussein et al.	128	303.1	
	AJ 4,705,507	11/1987	Boyles	604	101	
	AK 4,719,924	01/1988	Crittenden et al.	128	772	
	AL 4,748,982	06/1988	Horzewski et al.	128	344	
	AM 4,762,129	08/1988	Bonzel	128	344	
	AN 4,771,777	09/1988	Horzewski et al.	128	344	
	AO 4,798,598	01/1989	Bonello et al.	604	280	
	AP 4,820,349	04/1989	Saab	128	344	
	AQ 4,824,435	04/1989	Giesy et al.	604	49	
	AR 4,838,268	06/1989	Keith et al.	128	344	
	AS 4,846,174	07/1989	Willard et al.	128	344	
	AT 4,877,031	10/1989	Conway et al.	128	344	
	AU 4,881,547	11/1989	Danforth	128	344	
	AV 4,896,670	01/1990	Crittenden	606	194	
	AW 4,906,241	03/1990	Noddin et al.	606	194	
YMC	AX 4,917,088	04/1990	Crittenden	606	194	

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
Veine	AY 4,921,478	05/1990	Solano et al.	604	53	
	AZ 4,928,693	05/1990	Goodin et al.	128	637	
	BA 4,940,062	07/1990	Hampton et al.	128	772	RECEIVED
	BB 4,943,278	07/1990	Euteneuer et al.	604	96	MAR 09 1999
	BC 4,944,745	07/1990	Sogard et al.	606	194	TECHNOLOGY CENTER 3700
	BD 4,946,466	08/1990	Pinchuk et al.	606	194	
	BE 4,953,553	09/1990	Tremulis	128	637	
	BF 4,976,690	12/1990	Solar et al.	604	96	
	BG 4,976,720	12/1990	Machold et al.	606	194	
	BH 4,988,356	01/1991	Crittenden et al.	606	192	
	BI 4,994,032	02/1991	Sugiyama et al.	604	96	
	BJ 4,998,917	03/1991	Gaiser et al.	604	96	
	BK 4,998,923	03/1991	Samson et al.	606	194	
	BL 5,032,113	07/1991	Burns	604	96	
	BM 5,034,001	07/1991	Garrison et al.	604	53	
	BN 5,035,686	07/1991	Crittenden et al.	604	96	
	BO 5,035,705	07/1991	Burns	606	194	
	BP 5,040,548	08/1991	Yock	178	848	
	BQ 5,042,985	08/1991	Elliot et al.	606	192	
	BR 5,047,045	09/1991	Arney et al.	606	194	
	BS 5,050,606	09/1991	Tremulis	128	637	
	BT 5,057,120	10/1991	Farcot	606	194	
	BU 5,061,273	10/1991	Yock	606	194	
	BV 5,102,390	04/1992	Crittenden et al.	604	96	
	BW 5,102,403	04/1992	Alt	604	280	
	BX 5,112,304	05/1992	Barlow et al.	604	96	
	BY 5,156,594	10/1992	Keith	604	96	
	BZ 5,169,386	12/1992	Becker et al.	604	49	
	CA 5,176,637	01/1993	Sagae	604	96	
	CB 5,180,367	01/1993	Kontos et al.	604	101	
Kim	CC 5,242,396	09/1993	Evard	604	96	

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
Kmc	CD 5,346,505	09/1994	Leopold	606	194	
	CE 5,395,332	03/1995	Ressemann et al.	604	96	RECEIVED
	CF 5,425,711	06/1995	Ressemann et al.	604	96	MAR 09 1999
	CG 5,449,343	09/1995	Samson et al.	604	96	TECHNOLOGY CENTER 3700
	CH 5,643,209	07/1997	Fugoso et al.	604	96	
	CI 5,702,439	12/1997	Keith et al.	604	96	
Kmc	CJ 5,728,067	03/1998	Enger	604	102	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
Kmc	CK	0 344 530 A1	12/06/89	EPO			
	CL	0 365 993 A1	05/02/90	EPO			
	CM	0 368 523 A2	05/16/90	EPO			
	CN	0 380 873 A2	08/08/90	EPO			
	CO	0 380 873 A3	08/08/90	EPO			
	CP	0 380 873 B1	05/04/90	EPO			
	CQ	SU 1251-914-A	08/23/86	USSR			X
Kmc	CR	627828	10/1988	USSR			X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Kmc	CS	Paul G. Yock, U.S. Patent Application Serial No. 852,197, Filed April 15, 1986.
	CT	"USCI Lo Profile II Balloon Dilatation Catheters," C.R. Card, Inc. 1987.
	CU	"Until Someone Does It, No One Thinks It Can Be Done," C.R. Bard, Inc., 1988.
	CV	Schneider-Shiley, brochure entitled <u>Monorail-Bonzel Coronary Dilatation System</u> , published on date even with or prior to November 23, 1994.
	CW	<u>Monorail-Piccolino</u> , Flyer, October, 1988.
	CX	<u>ACS RX™ Dilatation Catheters</u> , Flyer, March, 1989.
	CY	<u>Balloon Catheters for Percutaneous Insertion Into the Vascular System</u> , Björn Nordenström, March 2, 1962.
Kmc	CZ	"New Instruments for Catheterization and Angiocardiography," Björn Nordenström, July-December 1965 Issue of <u>Radiology</u> .

EXAMINER: *Kelly M Cherry*

DATE CONSIDERED: *2/23/00*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Atty. Docket No.:
1001.1294101Serial No.:
09/178,126LIST OF PATENTS AND PUBLICATIONS FOR
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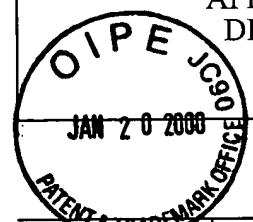
Applicant: Stivland et al.

Filing Date:

Group Art:

October 23, 1998

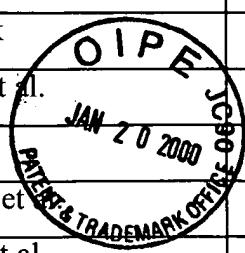
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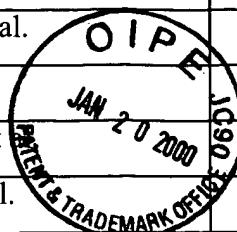
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	3,561,493	02/09/71	Maillard et al.	138	141	TC 3/00 MAIL ROOM
AB	3,618,614	11/09/71	Flynn	128	348	RECEIVED JAN 24 2000
AC	3,695,921	10/03/72	Shepherd et al.	117	72	
AD	3,814,137	06/04/74	Martinez	138	103	
AE	3,890,976	06/24/75	Bazell et al.	128	351	
AF	4,157,932	06/12/79	Hirata	156	310	
AG	4,171,416	10/16/79	Motegi et al.	526	245	
AH	4,211,741	07/08/80	Ostoich	264	173	
AI	4,282,876	08/11/81	Flynn	128	349R	
AJ	4,323,071	04/06/82	Simpson et al.	128	343	
AK	4,335,723	06/22/82	Patel	128	349B	
AL	4,413,989	11/08/83	Schjeldahl et al.	604	96	
AM	4,596,563	06/24/86	Pande	604	264	
AN	4,627,844	12/09/86	Schmitt	604	264	
AO	4,636,346	01/13/87	Gold et al.	264	139	
AP	4,646,719	03/03/87	Neuman et al.	128	1 D	
AQ	4,702,252	10/27/87	Brooks et al.	128	344	
AR	4,707,389	11/17/87	Ward	428	36	
AS	4,729,914	03/08/88	Kliment et al.	428	36	
AT	4,744,366	05/17/88	Jang	128	344	
AU	4,763,654	08/16/88	Jang	128	344	
AV	4,769,099	09/06/88	Theriault	156	230	
AW	4,775,371	10/04/88	Mueller, Jr.	604	280	
AX	4,776,849	10/11/88	Shinno et al.	604	283	
AY	4,782,834	11/08/88	Maguire et al.	128	344	
AZ	4,863,449	09/05/89	Theriault et al.	604	352	

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BA	4,900,314	02/13/90	Quackenbush	604	282	
BB	4,906,244	03/06/90	Pinchuk et al.	606	194	
BC	4,921,483	05/01/90	Wijav et al.	604	96	
BD	4,923,450	05/08/90	Maeda et al.	604	265	
BE	4,940,179	07/10/90	Soni	228	56.3	
BF	4,955,895	09/11/90	Sugiyama et al.	606	194	
BG	4,960,410	10/02/90	Pinchuk	604	96	
BH	4,981,478	01/01/91	Evard et al.	604	282	
BI	4,994,018	02/19/97	Saper	600	18	
BJ	4,994,047	02/19/91	Walker et al.	604	264	
BK	5,006,119	04/09/91	Acker et al.	606	27	
BL	5,026,377	06/ /91	Burton et al.	606	108	
BM	5,035,694	07/30/91	Kasprzyk et al.	606	27	
BN	5,041,089	08/20/91	Mueller et al.	604	96	
BO	5,041,100	08/20/91	Rowland et al.	604	265	
BP	5,047,045	09/10/91	Arney et al.	606	194	
BQ	5,059,269	10/22/91	Hu et al.	156	244.11	
BR	5,063,018	11/05/91	Fontirroche et al.	264	514	
BS	5,078,727	01/07/92	Hannam et al.	606	194	
BT	5,085,649	02/04/92	Flynn	604	282	
BU	5,100,381	03/31/92	Burns	604	96	
BV	5,114,423	05/19/92	Kasprzyk et al.	606	27	
BW	5,120,323	06/09/92	Shockey et al.	604	282	
BX	5,147,315	09/15/92	Weber	604	164	
BY	5,195,969	03/23/93	Wang et al.	604	96	
BZ	5,195,971	03/23/93	Sirhan	604	96	
CA	5,221,270	06/22/93	Parker	604	282	
CB	5,234,416	08/10/93	Macauley et al.	604	282	
CC	5,250,069	10/05/93	Nobuyoshi et al.	606	192	
CD	5,254,090	10/19/93	Lombardi et al.	604	96	
CE	5,267,959	12/07/93	Forman	604	103	
CF	5,270,086	12/14/93	Hamlin	428	35.2	
CG	5,272,012	12/21/93	Opolski	428	423.1	
CH	5,279,560	01/18/94	Morrill et al.	604	96	



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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
CI	5,290,230	03/01/94	Ainsworth et al.	604	96	
CJ	5,290,306	03/01/94	Trotta et al.	606	194	
CK	5,304,134	04/19/94	Kraus et al.	604	96	
CL	5,338,299	08/16/94	Barlow	604	96	
CM	5,348,536	09/20/94	Young et al.	604	43	
CN	5,356,709	10/18/94	Woo et al.	428	376	
CO	5,383,853	01/24/95	Jung et al.	604	96	
CP	5,397,306	03/14/95	Nobuyoshi et al.	604	96	TC 3700 MAIL ROOM
CQ	5,403,292	04/04/95	Ju	604	282	JAN 24 2000
CR	5,405,338	04/11/95	Kranys	604	282	RECEIVED
CS	5,409,495	04/25/95	Osborn	606	108	
CT	5,423,754	06/13/95	Cornelius et al.	604	103	
CU	5,425,712	06/20/95	Goodin	604	96	
CV	5,439,454	08/08/95	Lo et al.	604	264	
CW	5,460,608	10/24/95	Lodin et al.	604	96	
CX	5,478,320	12/26/95	Trotta	604	96	
CY	5,484,444	01/ /96	Braunschweiler et al.	606	108	
CZ	5,499,973	03/19/96	Saab	604	96	
DA	5,501,759	03/26/96	Forman	156	272.8	
DB	5,514,236	05/07/96	Avellant et al.	156	154	
DC	5,527,281	06/18/96	Haas	604	103	
DD	5,533,985	07/09/96	Wang	604	264	
DE	5,538,510	07/23/96	Fontirroche et al.	604	265	
DF	5,549,552	08/27/96	Peters et al.	604	96	
DG	5,558,737	09/24/96	Brown et al.	156	172	
DH	5,562,127	10/08/96	Fanselow et al.	138	137	
DI	5,620,649	04/15/97	Trotta	264	515	
DJ	5,653,691	08/05/97	Rupp et al.	604	96	
DK	5,676,659	10/14/97	McGurk	604	282	
DL	5,728,063	03/17/98	Preissman et al.	604	96	
DM	5,728,088	03/17/98	Magruder et al.	604	892.1	
DN	5,733,400	03/31/98	Gore et al.	156	158	
DO	5,749,852	05/12/98	Schwab et al.	604	96	
DP	5,792,814	08/11/98	Oishi et al.	525	119	



Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
DQ	kmc	5,797,877	08/25/98	Hamilton et al.	604	96	
DR		5,820,594	10/13/98	Fontirroche et al.	604	96	
DS		5,824,173	10/20/98	Fontirroche et al.	156	86	
DT		5,837,313	11/17/98	Ding et al.	427	2.21	
DU	kmc	5,843,032	12/01/98	Kastenhofer	604	96	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
DV	kmc	2,078,201 A1	12/29/92	Canada	—	—	
DW		0 277 368 A1	08/10/88	EP	—	—	
DX		0 279 959 B1	08/31/88	EP	—	—	
DY		0 298 634 A1	08/31/88	EP	—	—	
DZ		0 351 687 A2	01/24/90	EP	—	—	
EA		0 358 117 B1	03/14/90	EP	—	—	
EB		0 380 102 A1	08/01/90	EP	—	—	
EC		0 420 488 A1	04/03/97	EP	—	—	
ED		0 436 501 B1	07/10/91	EP	—	—	
EE		0 452 123 A1	10/16/91	EP	—	—	
EF		0 456 342 A1	11/13/91	EP	—	—	
EG		0 520 692 A1	12/30/92	EP	—	—	
EH		0 530 201 B1	03/10/93	EP	—	—	
EI		0 650 740 A1	05/03/95	EP	—	—	
EJ		0 669 142 A2	08/30/95	EP	—	—	
EK		0 803 264 A1	10/29/97	EP	—	—	
EL		GB 2 130 093 A	05/31/84	Great Britain	—	—	
EM		GB 2 209 121 A	05/04/89	Great Britain	—	—	
EN		WO 89/02763 1	04/06/89	PCT	—	—	
EO		WO 92/11893	07/23/92	PCT	—	—	
EP		WO 93/05842 1	04/01/93	PCT	—	—	
EQ	kmc	WO 95/18647	07/13/95	PCT	—	—	



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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

ER	<i>lmc</i>	Dupont Hytrel® Polyester Elastomer Hytrel 7246 (2 sheets).
ES		DSM Engineering Plastics Armitel® - Available Grades List (4 sheets).
ET		Petrothene® LS 5060-00 (1 sheet).
EU		Petrothene® LS 6007-00 (1 sheet).
EV		Norman G. Gaylord et al., "Compatibilizing Agents: Structure and Function in Polyblends," <u>J. Macromol. Sci.-Chem.</u> , A26(8), pp. 1211-1229 (1989).
EW		Brochure: "ASUKA™ 2.9F OTW PTCA Balloon Catheter," February 1994 (2 pgs.).
EX		"Physical Constants of Important Polymers," <u>Polymer Handbook</u> , 2 nd Edition, A Wiley - Interscience Publication, 1975, p. V-13 thru V-22.
EY		"Abrasion & Wear," <u>Encyclopedia of Polymer Science and Engineering</u> , Volume 1, A to Amorphous Polymers, A Wiley-Interscience Publication, 1985, pp. 1-35.
EZ		Brochure: "Opti-Plast PTA Balloon Dilatation Catheters: for Peripheral Angioplasty," Vas-Cath Incorporated, 4 pages (1991).
FA		PLEXAR® PX 360 (2 sheets).
FB		PLEXAR® PX 209 (2 sheets).
FC		PLEXAR® Tie-Layer Resins, Products, Applications, and Key Properties (3 sheets).
FD		Quantum Chemical Corporation Material Safety Data Sheet PLEXAR™ (5 sheets).
FE		Chevron Chemical Company, Technical Data Sheet Ethylene-Methyl Acrylate Copolymer EMAC SP 2260 (2 sheets).
FF		Chevron Chemical Company, Technical Data Sheet Ethylene-Methyl Acrylate Copolymer EMAC Sp 2205 (2 sheets).
FG		Bynel® Coextradable Adhesive Resins Selector Guide (6 sheets).
FH		Search Report dated February 9, 1998 in corresponding European Patent Application No. 97202661.1, together with Communication and one-page Annex.
FI	<i>lmc</i>	"Physical Constants of Poly(Vinyl Chloride)," <u>Polymer Handbook</u> , 2 nd Edition, A Wiley-Interscience Publication, 1975, p. V-41 thru V-50.

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